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## NOTICE OF ALLOWANCE AND FEE(S) DUE

46917

7590

03/12/2009

KONRAD RAYNES & VICTOR, LLP. ATTN: IBM37 315 SOUTH BEVERLY DRIVE, SUITE 210 BEVERLY HILLS, CA 90212

EXAMINER				
WALSH, JOHN B				
ART UNIT	PAPER NUMBER			
2451				

DATE MAILED: 03/12/2009

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/721.017	11/20/2003	Timothy Clarence Willging	SJO920030048US1	5665

TITLE OF INVENTION: METHOD, SYSTEM, AND PROGRAM FOR DETERMINING INFORMATION ON A STORAGE SYSTEM IN A NETWORK

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0	\$1810	06/12/2009

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

### PART B - FEE(S) TRANSMITTAL

### Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or <u>Fax</u> (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where m

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BEVERLY HIL	LS, CA 90212		ſ						(Depositor's name)
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									(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVENT	OR		ATTO	RNEY DOCKET NO.	CON	FIRMATION NO.
10/721,017	11/20/2003	•	Timothy Clarence Will	ging	•	SJO	0920030048US1		5665
		AND PROGRAM FOR I						WORK	
APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DU	Æ	PREV. PAID ISSUE	FEE	TOTAL FEE(S) DUE		DATE DUE
nonprovisional	NO	\$1510	\$300		\$0		\$1810		06/12/2009
EXAM	IINER	ART UNIT	CLASS-SUBCLASS						
WALSH,	JOHN B	2451	709-226000						
Change of correspondence address or indication of "Fee Address" (37 FR 1.363).  Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.			(1) the names of up or agents OR, altern (2) the name of a si registered attorney	a single firm (having as a member a ney or agent) and the names of up to ent attorneys or agents. If no name is					
PLEASE NOTE: Unl recordation as set fort (A) NAME OF ASSIG	less an assignee is ident h in 37 CFR 3.11. Comp GNEE	A TO BE PRINTED ON This ified below, no assignee eletion of this form is NO categories (will not be presented).	data will appear on th T a substitute for filing (B) RESIDENCE: (Cl	e pai an a	ent. If an assigne ssignment. and STATE OR CO	OUNT	RY)		
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<ul> <li>a. The following fee(s):</li> <li>Issue Fee</li> </ul>	are submitted:	41	<ul> <li>Payment of Fee(s): (I</li> <li>A check is enclose</li> </ul>		e first reapply any	y prev	iously paid issue fee s	shown :	above)
☐ Publication Fee (N	No small entity discount p	permitted)	Payment by credit card. Form PTO-2038 is attached.						
Advance Order - #	# of Copies		The Director is her overpayment, to De	eby epos	authorized to charg it Account Number	e the r	equired fee(s), any de (enclose a	ficiency 1 extra (	or credit any copy of this form).
a. Applicant claim	<b>tus</b> (from status indicated s SMALL ENTITY statu	is. See 37 CFR 1.27.	☐ b. Applicant is no						
OTE: The Issue Fee an terest as shown by the	d Publication Fee (if requeecords of the United Sta	uired) will not be accepte tes Patent and Trademark	d from anyone other that Office.	an th	e applicant; a regis	tered a	ttorney or agent; or th	e assigi	nee or other party in
Authorized Signature					Date				
Typed or printed name					Registration No	o			
n application. Confident abmitting the completed is form and/or suggesti	tiality is governed by 35 d application form to the ions for reducing this but irginia 22313-1450. DC	FR 1.311. The informatic U.S.C. 122 and 37 CFR USPTO. Time will vary den, should be sent to the NOT SEND FEES OR	1.14. This collection is depending upon the in e Chief Information Of	esti idivi ficer	mated to take 12 m dual case. Any cor . U.S. Patent and T	inutes nment radem	to complete, including on the amount of tire ark Office, U.S. Depart	g gathe ne you artment	ring, preparing, and require to complete of Commerce, P.O.

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/721,017	11/20/2003	Timothy Clarence Willging	SJO920030048US1	5665
46917 75	590 03/12/2009		EXAM	INER
KONRAD RAYI	NES & VICTOR, LL	P.	WALSH,	JOHN B
ATTN: IBM37			ART UNIT	PAPER NUMBER
315 SOUTH BEVI BEVERLY HILLS	ERLY DRIVE, SUITE 5, CA 90212		2451 DATE MAILED: 03/12/200	9

## **Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)**

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 854 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 854 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 (571)-272-4200.

	Application No.	Applicant(s)	
	10/721,017	WILLGING ET AL.	
Notice of Allowability	Examiner	Art Unit	
	John B. Walsh	2451	
The MAILING DATE of this communication appeal All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIOF of the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED in or other appropriate communication is supplication is supplication.	n this application. If not included unication will be mailed in due course	
1. This communication is responsive to <u>RCE of 2/27/09</u> .			
2. The allowed claim(s) is/are <u>1,2,4-10 and 31-51</u> .			
3. ☐ Acknowledgment is made of a claim for foreign priority ur  a) ☐ All b) ☐ Some* c) ☐ None of the:	,	or (f).	
1. Certified copies of the priority documents have			
2. Certified copies of the priority documents have			
3. Copies of the certified copies of the priority do	cuments have been receive	d in this national stage application fro	m the
International Bureau (PCT Rule 17.2(a)).			
* Certified copies not received:			
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		a reply complying with the requirement	ents
4. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give			OF
5. CORRECTED DRAWINGS (as "replacement sheets") mus	st be submitted.		
(a) ☐ including changes required by the Notice of Draftspers		v ( PTO-948) attached	
1)  hereto or 2)  to Paper No./Mail Date	-	. (	
(b) ☐ including changes required by the attached Examiner's		in the Office action of	
Paper No./Mail Date	3 Amenament / Comment of	in the Office action of	
Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in t			of
6. DEPOSIT OF and/or INFORMATION about the depo attached Examiner's comment regarding REQUIREMENT			е
Attachment(s) 1. ☐ Notice of References Cited (PTO-892)	5. ☐ Notice of In	formal Patent Application	
2.  Notice of Draftperson's Patent Drawing Review (PTO-948)		ummary (PTO-413),	
3. Information Disclosure Statements (PTO/SB/08),	7. ☐ Examiner's	Mail Date Amendment/Comment	
Paper No./Mail Date  4. Examiner's Comment Regarding Requirement for Deposit of Biological Material	_	Statement of Reasons for Allowance	!
	9.	<u></u>	
/John B. Walsh/			
Primary Examiner, Art Unit 2451			

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Art Unit: 2451

### **DETAILED ACTION**

## Allowable Subject Matter

1. Claims 1, 2, 4-10 and 31-51 are allowed.

2. The following is an examiner's statement of reasons for allowance: See Applicant's remarks of February 27, 2009 and August 21, 2008.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

### Conclusion

3. Any inquiry concerning this communication or earlier communications from the examiner should be directed to John B. Walsh whose telephone number is 571-272-7063. The examiner can normally be reached on Monday-Thursday from 8:00-6:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, John Follansbee can be reached on 571-272-3964. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available

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Art Unit: 2451

through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/John B. Walsh/ Primary Examiner, Art Unit 2451